

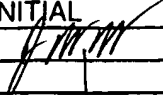
**LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S  
INFORMATION DISCLOSURE STATEMENT**

*02/06/04*


Applicant: COLE et al.  
For: MAGNETIC COMPONENTS FOR USE IN FORMING ANASTOMOSES,  
CREATING PORTS IN VESSELS AND CLOSING OPENINGS IN TISSUE  
Application No.: Unassigned  
Filing date: Herewith


| U.S. Patent Documents |       |                 | * Reference Designation |                               |       |               |
|-----------------------|-------|-----------------|-------------------------|-------------------------------|-------|---------------|
| EXAMINER<br>INITIAL   | *     | DOCUMENT<br>NO. | DATE                    | NAME                          | CLASS | SUB-<br>CLASS |
| <i>JMM</i>            | A1    | 3,986,493       | 10/1976                 | Hendren III                   |       |               |
|                       | A2    | 4,154,226       | 05/1979                 | Hennig et al.                 |       |               |
|                       | A3    | 4,210,132       | 07/1980                 | Perlin                        |       |               |
|                       | A4    | 4,258,705       | 03/1981                 | Sorensen et al.               |       |               |
|                       | A5    | 4,397,311       | 08/1983                 | Kanshin et al.                |       |               |
|                       | A6    | 4,679,546       | 07/1987                 | van Waalwijk van Doorn et al. |       |               |
|                       | A7    | 4,809,713       | 03/1989                 | Grayzel                       |       |               |
|                       | A8    | 4,899,120       | 12/1989                 | Gordon                        |       |               |
|                       | A9    | 4,899,744       | 02/1990                 | Fujitsuka et al.              |       |               |
|                       | A10   | 4,904,256       | 02/1990                 | Yamaguchi                     |       |               |
|                       | A11   | 5,330,486       | 07/1994                 | Wilk                          |       |               |
|                       | A12   | 5,411,508       | 05/1995                 | Bessler et al.                |       |               |
|                       | A13   | 5,441,507       | 08/1995                 | Wilk                          |       |               |
|                       | A14   | 5,507,629       | 04/1996                 | Jarvik                        |       |               |
|                       | A15   | 5,595,562       | 01/1997                 | Grier                         |       |               |
|                       | A16   | 5,611,689       | 03/1997                 | Stemmann                      |       |               |
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|                       | A19   | 5,830,224       | 11/1998                 | Cohn et al.                   |       |               |
|                       | A20   | 5,895,404       | 04/1999                 | Ruiz                          |       |               |
|                       | A21   | 5,904,147       | 05/1999                 | Conlan et al.                 |       |               |
|                       | A22   | 5,906,579       | 05/1999                 | Vander Salm et al.            |       |               |
|                       | A23   | 5,997,467       | 12/1999                 | Connolly                      |       |               |
|                       | A24   | 6,068,637       | 05/2000                 | Popov et al.                  |       |               |
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|                       | A28   | 6,419,681       | 07/2002                 | Vargas et al.                 |       |               |


**Foreign Patent Documents**

| EXAMINER<br>INITIAL   | *   | DOCUMENT<br>NO. | DATE    | COUNTRY      | ENGLISH<br>ABSTRACT | ENGLISH<br>TRANSLATION |
|---|-----|-----------------|---------|--------------|---------------------|------------------------|
|  | B1  | SU 736966       | 05/1980 | Soviet Union |                     | YES                    |
|   | B2  | SU 1025420      | 06/1983 | Soviet Union |                     | YES                    |
|   | B3  | SU 1179978      | 09/1985 | Soviet Union | YES                 |                        |
|   | B4  | SU 1438738      | 11/1988 | Soviet Union | YES                 |                        |
|   | B5  | RU 2018266      | 03/1989 | Soviet Union |                     | Yes                    |
|   | B6  | SU 1537228      | 01/1990 | Soviet Union | YES                 |                        |
|   | B7  | SU 1595534      | 09/1990 | Soviet Union | YES                 |                        |
|   | B8  | SU 1629040      | 02/1991 | Soviet Union | YES                 |                        |
|   | B9  | SU 1635966      | 03/1991 | Soviet Union | YES                 |                        |
|   | B10 | SU 1277452      | 06/1991 | Soviet Union |                     | YES                    |
|   | B11 | SU 1708313      | 01/1992 | Soviet Union | YES                 |                        |
|   | B12 | SU 1725851      | 04/1992 | Soviet Union | YES                 |                        |
|   | B13 | SU 1361753      | 04/1992 | Soviet Union |                     | YES                    |
|   | B14 | SU 1766383      | 10/1992 | Soviet Union | YES                 |                        |
|   | B15 | SU 1769863      | 10/1992 | Soviet Union | YES                 |                        |
|   | B16 | DE 29513195     | 12/1996 | Germany      | YES                 |                        |
|   | B17 | WO 97/13463     | 04/1997 | PCT          |                     |                        |
|   | B18 | DE 29713335     | 07/1997 | Germany      |                     |                        |
|   | B19 | WO 97/27897     | 08/1997 | PCT          |                     |                        |
|   | B20 | RU 2123300      | 12/1998 | Russia       | YES                 |                        |
|   | B21 | WO 00/09040     | 02/2000 | PCT          |                     |                        |
|   | B22 | WO 00/69364     | 11/2000 | PCT          |                     |                        |
|   | B23 | WO 00/74579     | 12/2000 | PCT          |                     |                        |
|   | B24 | WO 01/39672     | 06/2001 | PCT          |                     |                        |
|   | B21 | WO 01/82803     | 11/2001 | PCT          |                     |                        |

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|---|----|--|
|  | C1 | Esformes, et al., "Biological Effects of Magnetic Fields Generated with CoSm Magnets," pp. 81-87.  |
|   | C2 | Fuestel, et al., "Kontinente Kolostomie durch Magnetverschluß," <u>Dtsch. Med. Wschr.</u> 100 (1975), pp. 1063-1064 (includes English Abstract).   |
|   | C3 | Obora, et al., "Nonsuture Microvascular Anastomosis Using Magnet Rings: Preliminary Report," <u>Surg. Neurol.</u> , Vol. 9, February 1978, pp. 117-120.  |
|   | C4 | Kanshin, et al., "Sutureless anastomoses in gastrointestinal surgery with and without steady magnetic field," <u>Ark. Patol.</u> , 1978; 40(8):56-61 (with English Abstract).                              |
|   | C5 | Pirusyan, et al., "Some Regularities of Tissue Squeezing and Regeneration Under Formation of "Unstitch" Anastomoses of the Alimentary Canal's Hollow Organs," 1979, pp. 13-17 (includes English abstract). |
|   | C6 | Obora, et al., "Nonsuture Microvascular Anastomosis using Magnet Rings," January 16, 1980, pp. 497-505. (English translation is provided.)   |

| Other Art (Including Author, Title, Date, Pages, etc.)                            |     |  |
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|  | C7  | Yanase, "An Experimental Study on Traumatic Changes in Microvessels Produced by Pressure Clamping," <u>Aust N.Z. J. Surg.</u> Vol. 50-No. 4, August, 1980, pp. 423-428.  |
|   | C8  | Jansen, et al., "Clinical Applications of Magnetic Rings in Colorectal Anastomosis," <u>Surgery, Gynecology &amp; Obstetrics</u> , Volume 153, October 1981, pp. 537-545.  |
|   | C9  | Myshkin, et al., "Use of Permanent Magnets in Sutureless Anastomoses," 1987, pp. 47-52. (English translation is provided.)   |
|   | C10 | Kanshin, et al., "A Goal-Oriented Local Approach to the Prevention of Postoperative Purulent Complications," 1991, pp. 24-27. (English abstract is provided.)  |
|   | C11 | Stepanov, et al., "The treatment of intestinal fistulae in children by applying a bypass anastomosis using magnetic devices," <u>Khirurgiia (Mosk)</u> , Nov-Dec 1992, pp. 11-12. (English abstract is provided.)  |
|   | C12 | Fukumura, et al., "Development of a Magnetically Operated Artificial Urethral Sphincter," <u>ASAIO Journal</u> , 1993, pp. M283-M287.  |
|   | C13 | Bondemark, et al., "Orthodontic Rare Earth Magnets— <i>In Vitro</i> Assessment of Cytotoxicity," <u>British Journal of Orthodontia</u> , Vol. 21, No. 4, November 1994, pp. 335-341.   |
|   | C14 | Cope, "Evaluation of Compression of Cholecystogastric and Cholecystojejunum Anastomoses in Swine after Peroral and Surgical Introduction of Magnets," <u>Journal of Vascular and Interventional Radiology</u> , Vol. 6, No. 4, July-August 1995, pp. 546-552.  |
|   | C15 | Cope, "Creation of Compression Gastroenterostomy by Means of the Oral, Percutaneous, or Surgical Introduction of Magnets: Feasibility Study in Swine," <u>Journal of Vascular and Interventional Radiology</u> , Vol. 6, No. 4, July-August 1995, pp. 539-545. |
|   | C16 | Bondemark, et al., "Long-term effects of orthodontic magnets on human buccal mucosa—a clinical, histological and immunohistochemical study," <u>Eur J Orthod</u> , 20(3): June 1998, pp. 211-218.  |
|   | C17 | Cope, "Stent Placement of Gastroenteric Anastomoses Formed by Magnetic Compression," <u>Journal of Visceral Intervention</u> , Vol. 10, No. 10, Nov-Dec 1999, pp. 1379-1386.   |

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| EXAMINER<br> | DATE CONSIDERED<br>11-11-06 |
|---|-----------------------------|

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant